06-05-01

Please type a plus (+) sign in this box ➔

# UTILITY PATENT APPLICATION TRANSMITTAL
(only for new non-provisional applications under 37 C.F.R. § 1.53(B))

| | |
|---|---|
| Attorney Docket No. | M61.12-0341 |
| First Inventor or Application Identifier | Endong Xun |
| Title | SYSTEM AND METHOD FOR IDENTIFYING BASE NOUN PHRASES |
| Express Mail Label No. | EL844346957US |

## APPLICATION ELEMENTS
See MPEP chapter 600 concerning utility patent application contents.

**Address To:** Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

1. ☒ *Fee Transmittal Form e.g., PTO/SB17*
(Submit an original and a duplicate for fee processing)

2. ☒ Specification  [Total Sheets  37 ]
(preferred arrangement set forth below
- Descriptive title of the Invention)
- Cross References to Related Applications
- Statement Regarding Fed sponsored R & D

- Reference to Microfiche Appendix
- Background of the Invention

- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

3. ☒ Drawing(s) (35 U.S.C. § 113)  [Total Sheets  12 ]

4. Oath or Declaration  [Total Sheets  3 ]
a. ☒ Newly executed (original or copy)

b. ☐ Copy from a prior application (37 C.F.R. § 1.63(d))
(for continuation/divisional with Box 17 completed)

i. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§1.63(d)(2) and 1.33(b).

*NOTE FOR ITEMS 1 & 13: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).*

5. ☐ Microfiche Computer Program (Appendix)

6. ☐ Nucleotide and/or Amino Acid Sequence Submission
(If applicable, all necessary)
a. ☐ Computer Readable Copy
b. ☐ Paper Copy (Identical to computer copy)
c. ☐ Statement verifying identity of above copies

### ACCOMPANYING APPLICATION PARTS

7. ☒ Assignment Papers (cover sheet & document(s))

8. ☐ 37 C.F.R. § 3.73(b) Statement  ☐ Power of Attorney
(when there is an assignee)

9. ☐ English Translation Document

10. ☐ Information Disclosure Statement (IDS/PTO – PTO)  ☐ Copies of IDS

11. ☐ Preliminary Amendment

12. ☒ Return Receipt Postcard (MPEP 503)

13. ☐ *Small Entity ☐ Statement filed in prior application.
Statement(s)  Status still proper and desired
(PTO/SB/09-12)

14. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)

15. ☐ Request and Cert. Under 35 USC 122 (Non-Pub)

16. ☐ Other: _____

17. **If a CONTINUING APPLICATION,** check appropriate box, and supply the requisite information below and in a preliminary amendment:
☐ Continuation  ☐ Divisional  ☐ Continuation –in part (CIP)  of prior application No: _____

Prior application information: _____  Examiner _____  Group/Art Unit: ____
**FOR CONTINUATION or DIVISIONAL APPS only:** The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.
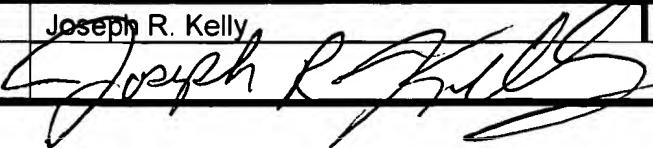
### 17. CORRESPONDENCE

☐ Customer Number or Bar Code Label  (Insert Customer No. or Attach bar code label here)  or  ☒ Correspondence address below
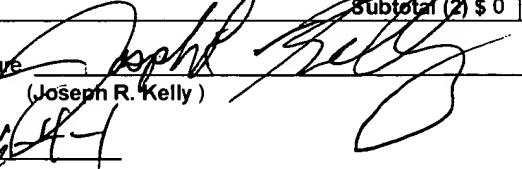
| | |
|---|---|
| Name | Joseph R. Kelly |
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| | | |
|---|---|---|
| Name (Print/type) | Joseph R. Kelly | Registration No. (Attorney/Agent)  34,847 |
| Signature | | Date  6/4/01 |

# FEE TRANSMITTAL

| | Complete if Known |
|---|---|
| Application No. | |
| Filing Date | |
| First Named Inventor | Endong Xun |
| Title | SYSTEM AND METHOD FOR IDENTIFYING BASE NOUN PHRASES |
| Group Art Unit | |
| Examiner Name | |
| Atty. Docket Number | M61.12-0341 |

**Total Amount of Payment  $ 750**

| **METHOD OF PAYMENT** (Check One) | **FEE CALCULATION** (Continued) |
|---|---|

**METHOD OF PAYMENT (Check One)**

1. [X] The Director is hereby authorized to charge any additional fee required under 37 C.F.R. § 1.16 and 1.17, including any petition fee, and credit any over payments to Deposit Account No. 23-1123. Westman, Champlin & Kelly, P.A.

2. [X] Check Enclosed

## FEE CALCULATION

### 1. BASIC FILING FEE

| Large Entity Fee Code | Fee ($) | Small Entity Fee Code | Fee ($) | Fee Description |
|---|---|---|---|---|
| 101 | 710 | 201 | 355 | [X] Utility Filing Fee |
| 106 | 320 | 206 | 160 | ☐ Design Filing Fee |
| 108 | 710 | 208 | 355 | ☐ Reissue Filing Fee |
| 114 | 150 | 214 | 75 | ☐ Prov. Filing Fee |

**Subtotal (1) $ 710**

### 2. EXTRA CLAIM FEES

| | Number Claims | Prior** | Extra | Fee from Below | Fee Paid |
|---|---|---|---|---|---|
| Total | 20 | 20 | 0 | 18 | 0 |
| Indep. | 3 | 3 | 0 | 80 | 0 |

Multiple Dependent Claims

** Insert 3 and 20, or number previously paid if greater; Reissue see below

| Large Entity Fee Code | Fee ($) | Small Entity Fee Code | Fee ($) | Description |
|---|---|---|---|---|
| 103 | 18 | 203 | 9 | Claims in excess of 20 |
| 102 | 80 | 202 | 40 | Independent claims in excess of 3 |
| 104 | 270 | 204 | 135 | Multiple Dependent Claims |
| 109 | 80 | 209 | 40 | Reissue Independent Claims over Original Patent |
| 110 | 18 | 210 | 9 | Reissue claims in excess of 20 and over original patent |

**Subtotal (2) $ 0**

### 3. ADDITIONAL FEES

| Large Entity Fee Code | Fee ($) | Small Entity Fee Code | Fee ($) | Fee Description | Fee Paid |
|---|---|---|---|---|---|
| 105 | 130 | 205 | 65 | Surcharge - Late filing fee or oath | |
| 127 | 50 | 227 | 25 | Surcharge - Late provisional Filing Fee or cover sheet | |
| 139 | 130 | 139 | 130 | Non-English specification | |
| 147 | 2,520 | 147 | 2,520 | For Filing a Request for Reexamination | |
| 115 | 110 | 215 | 55 | Extension for reply within first month | |
| 116 | 390 | 216 | 195 | Extension for reply within second month | |
| 117 | 890 | 217 | 445 | Extension for reply within third month | |
| 118 | 1,390 | 218 | 695 | Extension for reply within fourth month | |
| 128 | 1,890 | 280 | 945 | Extension for reply within fifth month | |
| 120 | 310 | 220 | 155 | Filing a brief in support of an appeal | |
| 121 | 270 | 221 | 135 | Request for oral hearing | |
| 148 | 110 | 248 | 55 | Terminal Disclaimer Fee | |
| 140 | 110 | 240 | 55 | Petition to Revive - unavoidable | |
| 141 | 1,240 | 241 | 620 | Petition to Revive - unintentional | |
| 142 | 1,270 | 242 | 650 | Utility/Reissue issue fee (inc. advance copies) | |
| 143 | 470 | 243 | 250 | Design issue fee (inc. advance copies) | |
| 122 | 130 | 122 | 130 | Petitions to the Commissioner | |
| 123 | 50 | 123 | 50 | Petitions related to provisional applications | |
| 126 | 180 | 126 | 180 | Submission of Information Disclosure Statement | |
| 581 | 40 | 581 | 40 | Recording each patent assignment per property (times number of properties) | 40 |

Other Fee (specify) ____

**Subtotal (3) $40**

Signature (Joseph R. Kelly )

Date

Reg. No. 34,847

Deposit Account No. 23-1123